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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/541,749	03/03/2006	Lukas C. Amler	D0304 NP	9819
23914	7590	01/08/2008		
LOUIS J. WILLE BRISTOL-MYERS SQUIBB COMPANY PATENT DEPARTMENT P O BOX 4000 PRINCETON, NJ 08543-4000			EXAMINER GUSSOW, ANNE	
			ART UNIT 1643	PAPER NUMBER
			NOTIFICATION DATE 01/08/2008	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

uspatents@BMS.COM  
patents@bms.com  
eileen.immordino@bms.com

<b>Office Action Summary</b>	Application No. 10/541,749	Applicant(s) AMLER ET AL.	
	Examiner Anne M. Gussow	Art Unit 1643	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-4 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/ are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                               | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                      | 5) <input type="checkbox"/> Notice of Informal Patent Application                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date ____ | 6) <input checked="" type="checkbox"/> Other: <u>Sequence alignment</u> .              |

## DETAILED ACTION

### *Election/Restrictions*

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

To have a general inventive concept under PCT rule 13.1, the inventions need to be linked by a special technical feature. The special technical feature recited in claim 1 is a biomarker selected from the biomarkers in Table 4. In view of this Augustus (US PG PUB 2002/0102532, filed October 3, 2001) reads on the claim. Augustus teaches a method of treating cancer with agents targeting gene expression products. Augustus teaches one of these agents (SEQ ID No. 417) that is identical to 3-hydroxy-3-methylglutaryl-Coenzyme A synthase 2 (SEQ ID No. 1 in table 4) of the instant invention. Therefore the technical feature recited in claim 1 is not special. Accordingly the groups are not so linked at to form a single general concept under rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Groups 1-139, claim(s) 1-4, drawn to a method for identifying a mammal that will respond therapeutically to a method of treating cancer by measuring the level of a biomarker selected from the listing in Tables 4 and 5. Each biomarker is a separate group.

2. The inventions listed as Groups 1-139 do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or

corresponding special technical features for the following reasons: As set forth above, in view of the teachings of Augustus, the groups are not so linked as to form a single general concept under PCT Rule 13.1 because the technical feature of claim 1 is not special.

3. Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one


or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anne M. Gussow whose telephone number is (571) 272-6047. The examiner can normally be reached on Monday - Friday 8:30 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry Helms can be reached on (571) 272-0832. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Anne M. Gussow  
January 2, 2008



LARRY R. HELMS, PH.D.  
SUPERVISORY PATENT EXAMINER

Query Match 100.0%; Score 2058; DB 3; Length 2058;  
Best Local Similarity 100.0%; Pred. No. 0;  
Matches 2058; Conservative 0; Mismatches 0; Indels 0; Gaps 0;

Qy	1	CGGTTTCTGCTGGGTTTCTGAACTGCTGGGTTTCTGCTTGCTCCTCTGGAGATGCAGCGT	60
Db	1	CGGTTTCTGCTGGGTTTCTGAACTGCTGGGTTTCTGCTTGCTCCTCTGGAGATGCAGCGT	60
Qy	61	CTGTTGACTCCAGTGAAGCGCATTCTGCAACTGACAAGAGCGGTGCAGGAAACCTCCCTC	120
Db	61	CTGTTGACTCCAGTGAAGCGCATTCTGCAACTGACAAGAGCGGTGCAGGAAACCTCCCTC	120
Qy	121	ACACCTGCTCGCTGCTCCAGTAGCCACCAAAGGTTTTCTACAGCCTCTGCTGTCCCC	180
Db	121	ACACCTGCTCGCTGCTCCAGTAGCCACCAAAGGTTTTCTACAGCCTCTGCTGTCCCC	180
Qy	181	CTGGCCAAAACAGATACTTGGCCAAAGGACGTGGGCATCCTGGCCCTGGAGGTCTACTTC	240
Db	181	CTGGCCAAAACAGATACTTGGCCAAAGGACGTGGGCATCCTGGCCCTGGAGGTCTACTTC	240
Qy	241	CCAGCCCAATATGTGGACCAAACCTGACCTGGAGAAGTATAACAATGTGGAAGCAGGAAAG	300
Db	241	CCAGCCCAATATGTGGACCAAACCTGACCTGGAGAAGTATAACAATGTGGAAGCAGGAAAG	300
Qy	301	TATACAGTGGGCTTGGGCCAGACCCGATATGGGCTTCTGCTCAGTCCAAGAGGACATCAAC	360
Db	301	TATACAGTGGGCTTGGGCCAGACCCGATATGGGCTTCTGCTCAGTCCAAGAGGACATCAAC	360
Qy	361	TCCCTGTGCCTGACGGTGGTGCAACGGCTGATGGAGCGCATACAGCTCCCATGGGACTCT	420
Db	361	TCCCTGTGCCTGACGGTGGTGCAACGGCTGATGGAGCGCATACAGCTCCCATGGGACTCT	420
Qy	421	GTGGGCAGGCTGGAAGTAGGCACTGAGACCATCATTGACAAGTCCAAAGCTGTCAAAAACA	480
Db	421	GTGGGCAGGCTGGAAGTAGGCACTGAGACCATCATTGACAAGTCCAAAGCTGTCAAAAACA	480

Qy	481	GTGCTCATGGAACCTCTTCCAGGATTCAGGCAATACTGATATTGAGGGCATAGATACCACC	540
Db	481	GTGCTCATGGAACCTCTTCCAGGATTCAGGCAATACTGATATTGAGGGCATAGATACCACC	540
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Db	541	AATGCCTGCTACGGTGGTACTGCCTCCCTCTTCAATGCTGCCAACTGGATGGAGTCCAGT	600
Qy	601	TCCTGGGATGGTCGTTATGCCATGGTGGTCTGTGGAGACATTGCCGTCTATCCCAGTGGT	660
Db	601	TCCTGGGATGGTCGTTATGCCATGGTGGTCTGTGGAGACATTGCCGTCTATCCCAGTGGT	660
Qy	661	AATGCTCGTCCACAGGTGGGGCCGGAGCTGTGGCTATGCTGATTGGCCCAAAGGCCCT	720
Db	661	AATGCTCGTCCACAGGTGGGGCCGGAGCTGTGGCTATGCTGATTGGCCCAAAGGCCCT	720
Qy	721	CTGGCCCTGGAGCGAGGGCTGAGGGGAACCCATATGGAGAATGTGTATGACTTCTACAAA	780
Db	721	CTGGCCCTGGAGCGAGGGCTGAGGGGAACCCATATGGAGAATGTGTATGACTTCTACAAA	780
Qy	781	CCAAATTTGGCCTCGGAGTACCCAATAGTGGATGGGAAGCTTTCATCCAGTGCTACTTG	840
Db	781	CCAAATTTGGCCTCGGAGTACCCAATAGTGGATGGGAAGCTTTCATCCAGTGCTACTTG	840
Qy	841	CGGGCCTTGGATCGATGTTACACATCATACCGTAAAAAAATCAGAATCAGTGGAAGCAA	900
Db	841	CGGGCCTTGGATCGATGTTACACATCATACCGTAAAAAAATCAGAATCAGTGGAAGCAA	900
Qy	901	GCTGGCAGCGATCGACCCCTTACCCTTGACGATTACAGTATATGATCTTTCATACACCC	960
Db	901	GCTGGCAGCGATCGACCCCTTACCCTTGACGATTACAGTATATGATCTTTCATACACCC	960
Qy	961	TTTTGCAAGATGGTCCAGAAGTCTCTGGCTCGCCTGATGTTCAATGACTTCCTGTCAGCC	1020
Db	961	TTTTGCAAGATGGTCCAGAAGTCTCTGGCTCGCCTGATGTTCAATGACTTCCTGTCAGCC	1020
Qy	1021	AGCAGTGACACACAAACCAGCTTATATAAGGGGCTGGAGGCTTTCGGGGGGCTAAAGCTG	1080
Db	1021	AGCAGTGACACACAAACCAGCTTATATAAGGGGCTGGAGGCTTTCGGGGGGCTAAAGCTG	1080
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Db	1081	GAAGACACCTACACCAACAAGGACCTGGATAAAGCACTTCTAAAGGCCTCTCAGGACATG	1140
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Db	1141	TTCGACAAGAAAAACAAGGCTTCCCTTTACCTCTCCACTCACAATGGGAACATGTACACC	1200
Qy	1201	TCATCCCTGTACGGGTGCCTGGCCTCGCTTCTGTCCCACTCTGCCAAGAACTGGCT	1260
Db	1201	TCATCCCTGTACGGGTGCCTGGCCTCGCTTCTGTCCCACTCTGCCAAGAACTGGCT	1260
Qy	1261	GGCTCCAGGATTGGTGCCTTCTCTTATGGCTCTGTTTAGCAGCAAGTTTCTTTTCATTT	1320
Db	1261	GGCTCCAGGATTGGTGCCTTCTCTTATGGCTCTGTTTAGCAGCAAGTTTCTTTTCATTT	1320
Qy	1321	CGAGTATCCAGGATGCTGCTCCAGGCTCTCCCTGGACAAGTTGGTGTCCAGCACATCA	1380
Db	1321	CGAGTATCCAGGATGCTGCTCCAGGCTCTCCCTGGACAAGTTGGTGTCCAGCACATCA	1380
Qy	1381	GACCTGCCAAAACGCCTAGCCTCCCGAAAGTGTGTGTCTCCTGAGGAGTTACAGAAATA	1440

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Db      1381 GACCTGCCAAAACGCCTAGCCTCCCGAAAGTGTGTGTCTCCTGAGGAGTTCACAGAAATA 1440
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Qy      1441 ATGAACCAAAGAGAGCAATTCTACCATAAGGTGAATTTCTCCCCACCTGGTGACACAAAC 1500
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Db      1501 AGCCTTTTCCCAGGTACTTGGTACCTGGAGCGAGTGGACGAGCAGCATCGCCGAAAGTAT 1560
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Qy      1561 GCCCGGCGTCCCGTCTAAAGGTGTTCTGCAGATCCATGGAAAGCTTCTGGGAAACGTAT 1620
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Qy      1681 AATTTGAATCGACATAGTAGCCCCATAAGCATCAGCCCTGTAGAGTGAGGAGCCATCTCT 1740
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Db      1801 ACTAGGGGTCTTTGTGAAAGAGCAAGATGGAGCAATGGAGAGAAGACCTCTTCCTGAAT 1860
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Qy      1861 CACTGGACTCCAGAAATGTGCATGCAGATCAGCTGTGCCTTCAAGATCCAGATAAACTT 1920
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Db      1861 CACTGGACTCCAGAAATGTGCATGCAGATCAGCTGTGCCTTCAAGATCCAGATAAACTT 1920
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Qy      1981 TGAATCTCCAAATTTGTACCTTGTCTAAGCTAATATATAGCAATTAAAAAGAGAGAAA 2040
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Db      1981 TGAATCTCCAAATTTGTACCTTGTCTAAGCTAATATATAGCAATTAAAAAGAGAGAAA 2040
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Qy      2041 GAGAAAAAAAAAAAAAAAAA 2058
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Db      2041 GAGAAAAAAAAAAAAAAAAA 2058
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